



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Brian J. Roberts, et al.

Serial No.: 09/385,336

Examiner: C. Dexter

Filed: August 30, 1999

Group No.: 3724

For: GAMING DEVICE AND METHOD

COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.
2. Small Entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
 Enclosed is a verified statement to establish small entity status.
 Other Than a Small Entity.
3. The fee has been calculated as shown below:

| | (Col. 1) | | (Col. 2) | (Col. 3) | SMALL ENTITY | LARGE ENTITY |
|---|----------------------------------|-------|---------------------------------|---------------|--------------|--------------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Addit. Fee |
| TOTAL | * | MINUS | ** | | x 9 | \$ |
| INDEP. | * | MINUS | *** | | x42 | \$ |
| FIRST PRESENTATION OF MULTIPLE DEP. CLAIM | | | | +140 | \$ | +280 |
| | | | | TOTAL FEE | \$ | TOTAL FEE |

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest No. Previously Paid for" IN THIS SPACE is less than 3, write "3" in this space.

The "Highest No. Previously Paid for" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

 No additional fee for claims is required. Total fee for claims required \$_____.

4. Attached is a check in the sum of \$_____.

 Please charge my Deposit Account No. 23-3000 in the amount of \$_____. A duplicate copy of this sheet is attached.

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5. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

Complete (a) or (b) as applicable.

(a) _____ Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

| <u>Extension (months)</u> | <u>Fee for other than small entity</u> | <u>Fee for small entity</u> |
|-------------------------------|--|---------------------------------|
| one month | \$ 110.00 | \$ 55.00 |
| two months | \$ 400.00 | \$ 200.00 |
| three months | \$ 920.00 | \$ 460.00 |
| four months | \$1,440.00 | \$ 720.00 |
| five months | \$1,960.00 | \$ 980.00 |

Fee: \$ _____

_____ Please charge my Deposit Account No. 23-3000 in the amount of \$ ~~RECEIVED~~ A
duplicate copy of this sheet is attached.

If an additional extension of time is required, please consider this a petition therefor.

(Check and complete the next item, if applicable)

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_____ An extension for _____ months has already been secured. The fee paid therefor of _____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ _____.

OR (b)

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

If any additional fee for claims or extension is required, charge Acct. No. 23-3000.

2700 Carew Tower
Cincinnati, Ohio 45202
(513) 241-2324



Signature of attorney

Keith R. Haupt

Type name of Attorney

Reg. No. 37,638

CERTIFICATE OF MAILING

I her by certify that this correspondence is being deposited with th United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231, on April 3, 2002

Karen D. Keay
Signature of Attorney, Reg. No. 37,638

April 3, 2002

Date

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April 3, 2002

Keith R. Haupt, Reg. No. 37,638

Date

April 3, 2002 (WDN-17-03)

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Filed: August 30, 1999
Art Unit: 3724
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Cincinnati, Ohio 45202

April 3, 2002

Commissioner of Patents

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Sale Ref: 00000001 DSN: 233000 09385336
01 FC:203 99.00 CH
02 FC:202 168.00 CH

AMENDMENT

Sir:

This is responsive to the Office Action dated February 13, 2002, and pursuant to the personal interview with the Examiner and the undersigned attorney on March 5, 2002. Applicant greatly appreciates the courtesy and cooperation extended by the Examiner during the interview.

Claims

Please cancel claim 36.

Please amend claims 7 as follows: